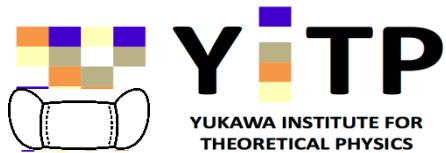


Black hole microstate counting & Picard–Lefschetz theory

Masazumi Honda

(本多正純)



Ref: MH, work in progress

Related works:

Choi-Kim-Kim-Nahmgoong, arXiv:1810.12067; MH, arXiv:1901.08091; Ardehali, arXiv:1902.06619
Benini-Milan, arXiv:1812.09613; Cabo-Bizet-Murthy, arXiv:1909.09597;
Copetti-Grassi-Komargodski-Tizzano, arXiv:2008.04950; Ardehali-Hong-Liu, arXiv:1912.04169, etc...

Black hole = Thermodynamic?

Entropy:

$$S_{\text{BH}} = \frac{\text{Area}}{4G}$$

Test of Quantum Gravity

Bekenstein-Hawking entropy:

[Bekenstein '72, Hawking '75]

$$S_{\text{BH}} = \frac{\text{Area}}{4G}$$

macroscopic

What is a *microscopic* origin of the entropy?

Candidates of quantum gravity should answer

\ni String Theory!

Answer from string theory for a specific black hole

[Strominger-Vafa '96]

For an asymptotically flat supersymmetric (SUSY) charged BH,

$$S_{BH} = \log \left(\begin{array}{l} \text{\# of states in string theory} \\ \text{w/ the same quantum numbers} \end{array} \right)$$

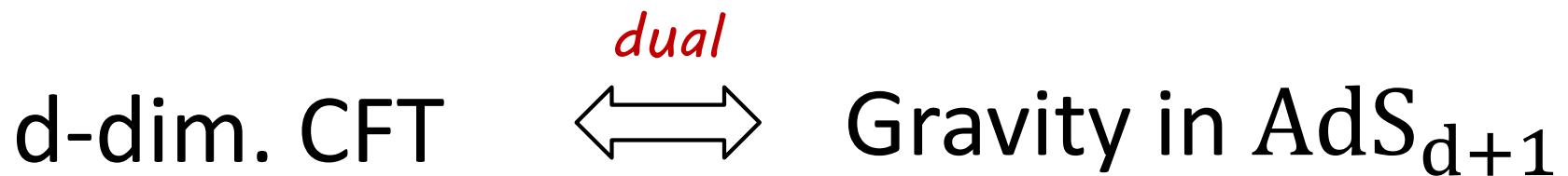
statistical explanation of BH entropy!



Another challenge for asymptotically AdS BH

AdS/CFT correspondence:

[Maldacena '97]



Does the **CFT** side give a **microscopic** explanation
of BH entropy on the gravity side?

Another challenge for asymptotically AdS BH

AdS/CFT correspondence:

[Maldacena '97]

$$\text{d-dim. CFT} \quad \xleftrightarrow{\text{dual}} \quad \text{Gravity in } \text{AdS}_{d+1}$$

Does the **CFT** side give a **microscopic** explanation of BH entropy on the gravity side?

A natural expectation:

$$S_{BH} = \log \left(\begin{array}{l} \# \text{ of states in CFT} \\ \text{w/ the same quantum numbers} \end{array} \right) ??$$

This talk

Set up:

$$\begin{array}{ccc} \text{4d } SU(N) \mathcal{N} = 4 & G_N \sim \frac{1}{N^2} & \text{IIB string on } AdS_5 \times S^5 \\ \text{Super Yang-Mills theory} & \longleftrightarrow & \end{array}$$

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\exists black holes on the string side (rotating charged):

[Gutowski-Reall '04 etc.]

$$S_{\text{BH}} = \frac{A}{4G} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2}{2}(J_1 + J_2)}$$

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Recent progress: (explained later)

[Choi-Kim-Kim-Nahmgoong, Benini-Milan, MH, Ardehali, Cabo-Bizet-Murthy, etc.]

The BH entropy is captured by superconformal index
but \exists subtle points w/ smells of Stokes phenomena

Resurgence can help?

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Related aspects:

- (de)confinement transition [Copetti-Grassi-Komargodski-Tizzano] (\Leftrightarrow Hawking-Page transition)
- partial deconfinement [Ardehali-Hong-Liu]

Contents

1. Introduction

2. Recent progress & Subtle points

3. Resurgence to the rescue (?)

4. Summary

Black holes on the gravity side

$$4\text{d } SU(N) \mathcal{N} = 4 \text{ SYM} \longleftrightarrow \text{IIB string on } AdS_5 \times S^5$$

\exists Black holes on the string side w/

- 2 angular momenta: (J_1, J_2)
- 3 electric charges: (Q_1, Q_2, Q_3)
- 2 supercharges (1/16 BPS)
- mass: $g(|J_1| + |J_2| + |Q_1| + |Q_2| + |Q_3|)$

[Gutowski-Reall '04 etc.]

Black holes on the gravity side

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[Gutowski-Reall '04 etc.]

Bekenstein-Hawking entropy:

$$S_{\text{BH}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{\pi}{4G_N g^3} (J_1 + J_2)} \quad \text{with} \quad \frac{\pi}{2G_N g^3} = N^2$$

Is the entropy realized by counting CFT states?

Grand canonical partition function & Index

Q. Black hole entropy from counting CFT states?

Grand canonical partition function:

$$\text{Tr}_{\text{BPS}} \left[\prod_i x_i^{J_i} \prod_a y_a^{Q_a} \right] = \sum_{J,Q} d(Q, J) \prod_i x_i^{J_i} \prod_a y_a^{Q_a}$$

$$\text{AdS/CFT} \rightarrow d(Q, J)|_{N, g_{\text{YM}}^2 N \gg 1} \sim e^{S_{\text{BH}}} = e^{\mathcal{O}(N^2)}$$

difficult to compute

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Superconformal index:

[Kinney-Maldacena-Minwalla-Raju'06]

$$I = \text{Tr}_{\text{BPS}} \left[(-1)^F \prod_i x_i^{J_i} \prod_a y_a^{Q_a} \right] = \sum_{J,Q} (d_{\text{Bos}}(Q, J) - d_{\text{Fer}}(Q, J)) \prod_i x_i^{J_i} \prod_a y_a^{Q_a}$$

$$d(Q, J) \geq d_{\text{Bos}}(Q, J) - d_{\text{Fer}}(Q, J) \quad \text{under control}$$

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Superconformal index in $SU(N)$ $N=4$ SYM

In 4d $\mathcal{N} = 1$ language,

4d $\mathcal{N} = 4$ SYM = 1 vector + 3 adjoint chirals $\Phi_{1,2,3}$

Partition function on $S_\beta^1 \times M_3$ ($M_3 \sim S^3$) :

$$Z_{S_\beta^1 \times S^3} = e^{-\beta E} I, \quad I = \text{Tr}_{\text{BPS}} \left[(-1)^F p^{J_1 + \frac{r}{2}} q^{J_2 + \frac{r}{2}} v_1^{q_1} v_2^{q_2} \right]$$

- $J_{1,2}$: angular momenta along S^3
- $Q_{1,2,3}/2$: charges of $U(1)^3 \subset SO(6)_R$
- $r = \frac{2}{3}(Q_1 + Q_2 + Q_3)$: $U(1)_R$ charge
- $q_{1,2} = Q_{1,2} - Q_3$: $U(1)_{1,2}$ charge

	Φ_1	Φ_2	Φ_3
$U(1)_1$	+1	0	-1
$U(1)_2$	0	+1	-1

Mathematical description: finite dim. integral

It is known that superconformal index is 1-loop exact:

$$I = \frac{(p;p)^N (q;q)^N}{N!} \int_{-\frac{1}{2}}^{\frac{1}{2}} d^{N-1}x \prod_{i \neq j} \Gamma_e(x_{ij} + \sigma + \tau; \sigma, \tau) \prod_{i,j} \Gamma_e\left(x_{ij} + m_1 + \frac{1}{3}(\sigma + \tau); \sigma, \tau\right) \\ \times \Gamma_e\left(x_{ij} + m_2 + \frac{1}{3}(\sigma + \tau); \sigma, \tau\right) \Gamma_e\left(x_{ij} - m_1 - m_2 + \frac{1}{3}(\sigma + \tau); \sigma, \tau\right)$$

$e^{2\pi i x_j}$: holonomy along S^1 , $x_{ij} = x_i - x_j$

m_1, m_2 : chemical potential for $U(1)$ flavor symmetries

$$p = e^{2\pi i \sigma}, \quad q = e^{2\pi i \tau}, \quad (a; q) = \prod_{k=0}^{\infty} (1 - aq^k),$$

$$\Gamma_e(x; \sigma, \tau) = \prod_{j,k \geq 0} \frac{1 - e^{-2\pi i x} p^{j+1} q^{k+1}}{1 - e^{2\pi i x} p^j q^k}$$

“Lore” on superconformal index until 2018

[Kinney-Maldacena-Minwalla-Raju’06, etc.]

$$\left. \begin{aligned} I = & \frac{(p;p)^N(q;q)^N}{N!} \int_{-\frac{1}{2}}^{\frac{1}{2}} d^{N-1}x \prod_{i \neq j} \Gamma_e(x_{ij} + \sigma + \tau; \sigma, \tau) \quad \prod_{i,j} \Gamma_e\left(x_{ij} + m_1 + \frac{1}{3}(\sigma + \tau); \sigma, \tau\right) \\ & \times \Gamma_e\left(x_{ij} + m_2 + \frac{1}{3}(\sigma + \tau); \sigma, \tau\right) \Gamma_e\left(x_{ij} - m_1 - m_2 + \frac{1}{3}(\sigma + \tau); \sigma, \tau\right) \end{aligned} \right\}$$

Let us consider

$$(p = e^{2\pi i \sigma}, \ q = e^{2\pi i \tau})$$

$$N \rightarrow \infty, m_1 = m_2 = 0, 0 < p, q < 1$$

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Then, the famous result:

$$I = e^{\mathcal{O}(1)} \quad \textcolor{red}{\text{independent of } N!?}$$

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Obviously, this does **not** capture the BH entropy:

$$S_{\text{BH}} = \frac{A}{4G} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2}{2}(J_1 + J_2)}$$

Standard interpretation **was**

\exists many cancelations due to $(-1)^F$

Loophole of the “lore”

[Choi-Kim-Kim-Nahmgoong, Cabo-Bizet-Cassani-Martelli-Murthy, etc.]

$$I = \text{Tr}_{\text{BPS}} \left[(-1)^F p^{J_1 + \frac{r}{2}} q^{J_2 + \frac{r}{2}} v_1^{q_1} v_2^{q_2} \right]$$

To pick up # of states, we have to extract coefficients:

$$(\# \text{ of states}) = \oint \frac{dp}{p^{N_P+1}} \frac{dq}{q^{N_q+1}} \frac{dv_1}{v_1^{N_{v_1}+1}} \frac{dv_2}{v_2^{N_{v_2}+1}} I(p, q, v_1, v_2)$$

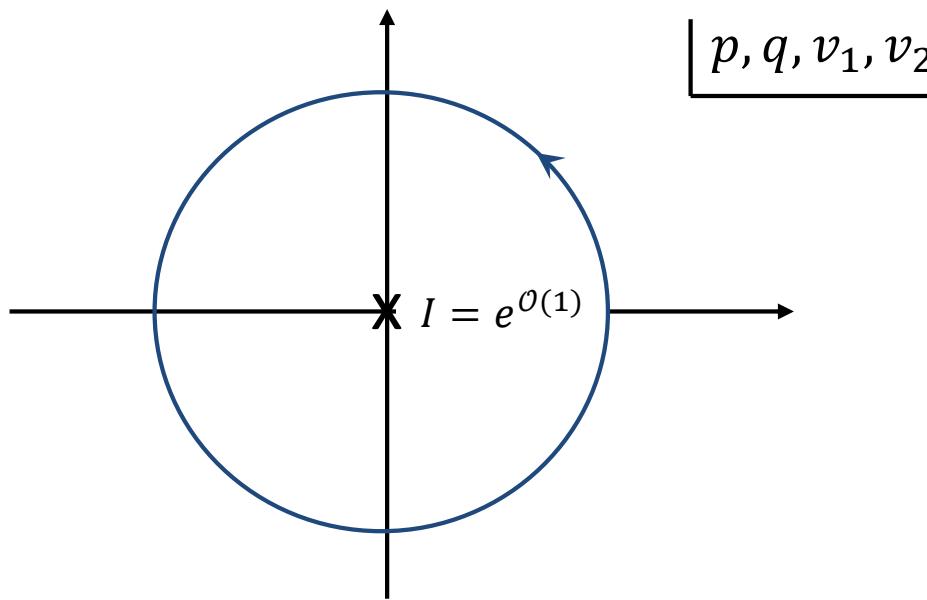
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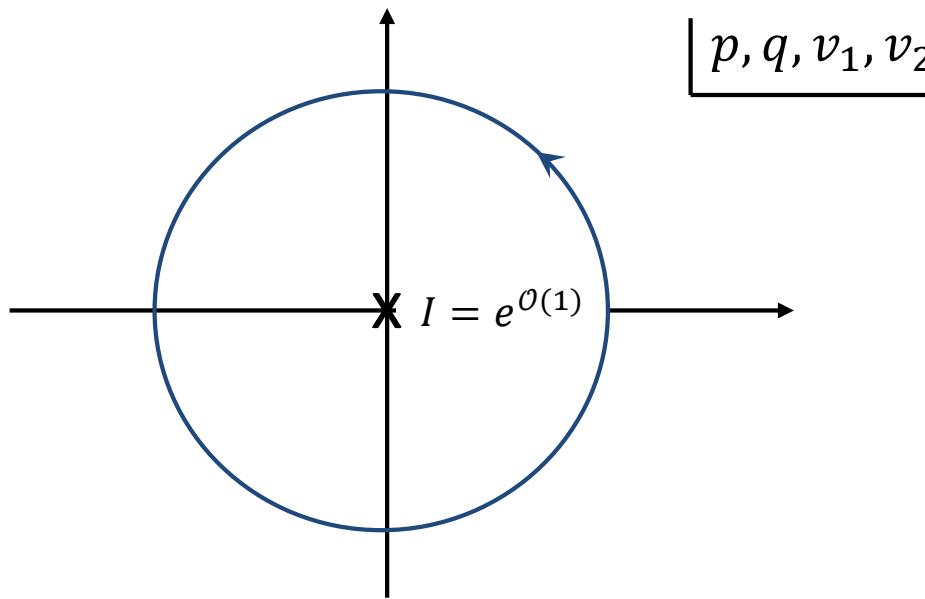
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*Need information for **complex** fugacities!*

Recent updates

(details explained in next pages)

[Choi-Kim-Kim-Nahmgoong, Benini-Milan, MH,
Ardehali, Cabo-Bizet-Murthy, etc.]

Loophole: complex fugacities may give different results

In the shrinking limit of S^1 (“**Cardy limit**”),

$$I = e^{\mathcal{O}(N^2)} \quad \text{(for complex fugacities)}$$

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Loophole: complex fugacities may give different results

In the shrinking limit of S^1 (“**Cardy limit**”),

$$I = e^{\mathcal{O}(N^2)} \quad \text{(for complex fugacities)}$$

Picking up (# of states) from this formula w/ $N \rightarrow \infty$ gives

$$S_{\text{BH}} = \frac{A}{4G} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2}{2}(J_1 + J_2)}$$

SUSY Cardy formula for N=4 SYM

$$I_{S^1_\beta \times S^3} = \text{Tr}_{\text{BPS}} \left[(-1)^F p^{J_1 + \frac{r}{2}} q^{J_2 + \frac{r}{2}} v_1^{q_1} v_2^{q_2} \right]$$

[Di Pietro-Komargodski,
Ardehali '15, Di Pietro-MH '16]

$$p = e^{2\pi i \sigma} = e^{\mathcal{O}(\beta)}, \quad q = e^{2\pi i \tau} = e^{\mathcal{O}(\beta)}, \quad v_{1,2} = e^{2\pi i m_{1,2}}$$

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Cardy limit $\beta \rightarrow 0$ ($\tau, \sigma \rightarrow 0$):

$$I_{S^1 \times S^3} \rightarrow \int_{-1/2}^{1/2} d^{N-1} a \ e^{\frac{i\pi}{6\tau\sigma} V_2(a) + \frac{i\pi(\tau+\sigma)}{2\tau\sigma} V_1(a)}$$

$$\left\{ \begin{array}{lcl} V_2(a) & = & - \sum_{1 \leq i \neq j \leq N} \left[\kappa(a_{ij} + m_1) + \kappa(a_{ij} + m_2) + \kappa(a_{ij} - m_1 - m_2) \right] \\ & & - (N-1) \left[\kappa(m_1) + \kappa(m_2) + \kappa(-m_1 - m_2) \right], \\ V_1(a) & = & \frac{1}{3} \sum_{1 \leq i \neq j \leq N} \left[3\theta(a_{ij}) - \theta(a_{ij} + m_1) - \theta(a_{ij} + m_2) - \theta(a_{ij} - m_1 - m_2) \right] \\ & & - \frac{N-1}{3} \left[\theta(m_1) + \theta(m_2) + \theta(-m_1 - m_2) \right], \\ a_{ij} = a_i - a_j, \quad \sum_{j=1}^N a_j = 0, \quad \kappa(x) = \{x\}(1-\{x\})(1-2\{x\}), \quad \theta(x) = \{x\}(1-\{x\}), \end{array} \right.$$

Effective potential analysis

$$I_{S^1 \times S^3} \rightarrow \int_{-1/2}^{1/2} d^{N-1}a e^{\frac{i\pi}{6\tau\sigma}V_2(a) + \frac{i\pi(\tau+\sigma)}{2\tau\sigma}V_1(a)}$$

[MH '19]

Let's focus on the regime $\text{Re} \left(\frac{i}{\tau\sigma} \right) < 0 \rightarrow \text{minimize } V_2(a)!$

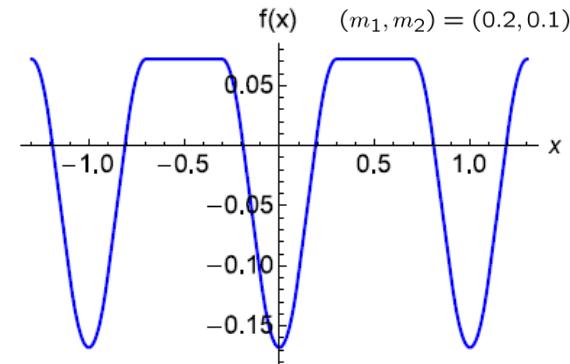
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$$\begin{cases} V_2(a) = \sum_{i < j} f(a_{ij}) + \frac{N-1}{2}f(0), \\ f(a_{ij}) = \kappa(a_{ij} - \{m_1\}) - \kappa(a_{ij} + \{m_1\}) + \kappa(a_{ij} - \{m_2\}) - \kappa(a_{ij} + \{m_2\}) \\ \quad + \kappa(a_{ij} + \{m_1\} + \{m_2\}) - \kappa(a_{ij} - \{m_1\} - \{m_2\}). \end{cases}$$



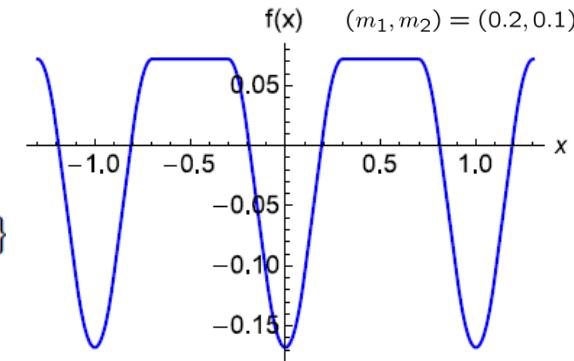
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$f(x)$ has the minimum at the origin:

$$f(x)|_{\min} = f(0) = 12\{m_1\}\{m_2\}(\{m_1\} + \{m_2\} - 1).$$

Thus,

$$\log I_{S^1 \times S^3} \underset{|\tau|, |\sigma| \rightarrow 0}{\simeq} \frac{i\pi(N^2 - 1)}{\tau\sigma} \left[\{m_1\}\{m_2\}(\{m_1\} + \{m_2\} - 1) \right. \\ \left. + \frac{\tau + \sigma}{3} (\{m_1\}^2 + \{m_2\}^2 + \{m_1\}\{m_2\} - \{m_1\} - \{m_2\}) \right].$$

Entropy from index

$$I_{S^1 \times S^3} = \text{Tr}_{\text{BPS}} \left[(-1)^F p^{J_1 + \frac{r}{2}} q^{J_2 + \frac{r}{2}} v_1^{q_1} v_2^{q_2} \right] \quad (p = e^{2\pi i \sigma}, \ q = e^{2\pi i \tau}, \ v_{1,2} = e^{2\pi i m_{1,2}})$$

$$\log I_{S^1 \times S^3} \underset{|\tau|, |\sigma| \rightarrow 0}{\simeq} \frac{i\pi(N^2 - 1)}{\tau\sigma} \left[\{m_1\}\{m_2\}(\{m_1\} + \{m_2\} - 1) + \frac{\tau + \sigma}{3} (\{m_1\}^2 + \{m_2\}^2 + \{m_1\}\{m_2\} - \{m_1\} - \{m_2\}) \right].$$

Step 1: Redefine chemical potentials: $m_{1,2} = \Delta_{1,2} - \frac{\tau + \sigma}{3}$,

$$\begin{aligned} I_{S^1 \times S^3} &= \text{Tr}_{\text{BPS}} \left[(-1)^F p^{J_1 + Q_3} q^{J_2 + Q_3} e^{2\pi i \Delta_1 (Q_1 - Q_3)} e^{2\pi i \Delta_2 (Q_2 - Q_3)} \right] \\ &= \text{Tr}_{\text{BPS}} \left[p^{J_1} q^{J_2} \prod_{a=1}^3 e^{2\pi i \Delta_a Q_a} \right]_{\sum_a \Delta_a - \tau - \sigma - 1 \in 2\mathbb{Z}} \quad ((-1)^F = e^{2\pi i Q_3}) \end{aligned}$$

[cf. Cabo-Bizet-Cassani-Martelli-Murthy '18]

$$\log I_{S^1 \times S^3} \underset{|\tau|, |\sigma| \rightarrow 0}{\simeq} \frac{i\pi(N^2 - 1)\{\Delta_1\}\{\Delta_2\}(\{\Delta_1\} + \{\Delta_2\} - 1 - \sigma - \tau)}{\tau\sigma}$$

Entropy from index

$$I_{S^1 \times S^3} = \text{Tr}_{\text{BPS}} \left[(-1)^F p^{J_1 + \frac{r}{2}} q^{J_2 + \frac{r}{2}} v_1^{q_1} v_2^{q_2} \right] \quad (p = e^{2\pi i \sigma}, \ q = e^{2\pi i \tau}, \ v_{1,2} = e^{2\pi i m_{1,2}})$$

$$\begin{aligned} \log I_{S^1 \times S^3} &\underset{|\tau|, |\sigma| \rightarrow 0}{\simeq} \frac{i\pi(N^2 - 1)}{\tau\sigma} \left[\{m_1\}\{m_2\}(\{m_1\} + \{m_2\} - 1) \right. \\ &\quad \left. + \frac{\tau + \sigma}{3} (\{m_1\}^2 + \{m_2\}^2 + \{m_1\}\{m_2\} - \{m_1\} - \{m_2\}) \right]. \end{aligned}$$

Step 1: Redefine chemical potentials: $m_{1,2} = \Delta_{1,2} - \frac{\tau + \sigma}{3}$,

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$$\log I_{S^1 \times S^3} \underset{|\tau|, |\sigma| \rightarrow 0}{\simeq} \frac{i\pi(N^2 - 1)\{\Delta_1\}\{\Delta_2\}(\{\Delta_1\} + \{\Delta_2\} - 1 - \sigma - \tau)}{\tau\sigma}$$

Step 2: Pick up “degeneracy” via Legendre transformation

Entropy from index (cont'd)

Pick up “degeneracy”:

$$I = \text{Tr}_{\text{BPS}} \left[p^{J_1} q^{J_2} \prod_{a=1}^3 e^{2\pi i \Delta_a Q_a} \right]_{\sum_a \Delta_a - \tau - \sigma - 1 \in 2\mathbb{Z}}$$

$$\int d\sigma d\tau d\Delta_1 d\Delta_2 d\Delta_3 d\Lambda I e^{-2\pi i (J_1\sigma + J_2\tau + \sum_a \Delta_a Q_a)} e^{-2\pi i \Lambda (\sum_a \Delta_a - \tau - \sigma - 1)}$$

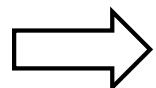
Entropy from index (cont'd)

Pick up “degeneracy”:

$$\int d\sigma d\tau d\Delta_1 d\Delta_2 d\Delta_3 d\Lambda I e^{-2\pi i(J_1\sigma + J_2\tau + \sum_a \Delta_a Q_a)} e^{-2\pi i\Lambda(\sum_a \Delta_a - \tau - \sigma - 1)}$$

Entropy w/ large quantum numbers:

$$S_{\text{CFT}}(Q, J) = -\log I + 2\pi i(J_1\sigma + J_2\tau + \sum_a \Delta_a Q_a) + 2\pi i\Lambda(\sum_a \Delta_a - \tau - \sigma - 1) \Big|_{\text{ext.}}$$



$$S_{\text{CFT}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2 - 1}{2}(J_1 + J_2)}$$

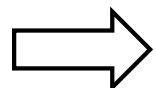
Entropy from index (cont'd)

Pick up “degeneracy”:

$$\int d\sigma d\tau d\Delta_1 d\Delta_2 d\Delta_3 d\Lambda I e^{-2\pi i(J_1\sigma + J_2\tau + \sum_a \Delta_a Q_a)} e^{-2\pi i\Lambda(\sum_a \Delta_a - \tau - \sigma - 1)}$$

Entropy w/ large quantum numbers:

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$$S_{\text{CFT}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2 - 1}{2}(J_1 + J_2)}$$

BH entropy:

$$S_{\text{BH}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2}{2}(J_1 + J_2)}$$

Agrees in the large- N limit!!

Quantum black hole entropy?

$$S_{\text{CFT}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2 - 1}{2} (J_1 + J_2)}$$

In terms of the central charge $c = \frac{N^2 - 1}{4}$,

(True also for other gauge group and orbifold N=4 SYM)

$$S_{\text{CFT}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - 2c(J_1 + J_2)}$$

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$$S_{\text{CFT}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - 2c(J_1 + J_2)}$$

Somehow this is **exactly** the same as the BH formula

if we slightly modify the AdS/CFT dictionary:

$$\left. \frac{\pi}{2G_N g^3} \right|_{\text{finite } N} = N^2 - 1 = 4c$$

$$\left(S_{\text{BH}} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{\pi}{4G_N g^3} (J_1 + J_2)} \right)$$

Non-renormalization against quantum corrections?

Other regime

$$I_{S^1 \times S^3} \rightarrow \int_{-1/2}^{1/2} d^{N-1}a e^{\frac{i\pi}{6\tau\sigma}V_2(a) + \frac{i\pi(\tau+\sigma)}{2\tau\sigma}V_1(a)}$$

So far, $\text{Re}\left(\frac{i}{\tau\sigma}\right) < 0$. What if $\text{Re}\left(\frac{i}{\tau\sigma}\right) > 0$? \rightarrow maximize $V_2(a)$

$$\left\{ \begin{array}{l} V_2(a) = \sum_{i < j} f(a_{ij}) + \frac{N-1}{2} f(0), \\ \\ f(a_{ij}) = \kappa(a_{ij} - \{m_1\}) - \kappa(a_{ij} + \{m_1\}) + \kappa(a_{ij} - \{m_2\}) - \kappa(a_{ij} + \{m_2\}) \\ \quad + \kappa(a_{ij} + \{m_1\} + \{m_2\}) - \kappa(a_{ij} - \{m_1\} - \{m_2\}). \end{array} \right.$$

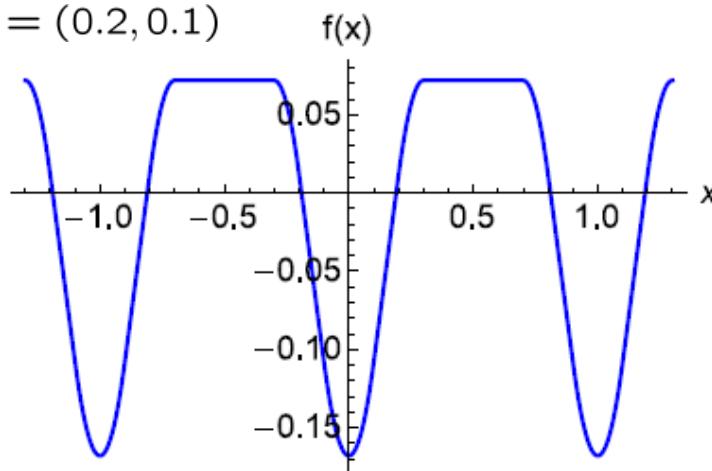
Other regime

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$$\begin{cases} V_2(a) = \sum_{i < j} f(a_{ij}) + \frac{N-1}{2}f(0), \\ f(a_{ij}) = \kappa(a_{ij} - \{m_1\}) - \kappa(a_{ij} + \{m_1\}) + \kappa(a_{ij} - \{m_2\}) - \kappa(a_{ij} + \{m_2\}) \\ \quad + \kappa(a_{ij} + \{m_1\} + \{m_2\}) - \kappa(a_{ij} - \{m_1\} - \{m_2\}). \end{cases}$$

$$(m_1, m_2) = (0.2, 0.1)$$



minima are not isolated
& \exists flat directions

Stokes phenomena?

Subtleties

- In general, \exists complex saddle points so we need to do proper saddle point analysis
- But “action” in the strict Cardy limit is non-differentiable

(\because “action” is described by $\kappa(x) = \{x\}(1 - \{x\})(1 - 2\{x\})$)
- It seems \exists Stokes phenomena as changing phases of chemical potentials
- Other works reported (de)confinement transition & partial deconfinement

[Copetti-Grassi-Komargodski-Tizzano]

[Ardehali-Hong-Liu]

Resurgence

to the
Rescue



nycomicshop

Oops!

Next slides include preliminary results!